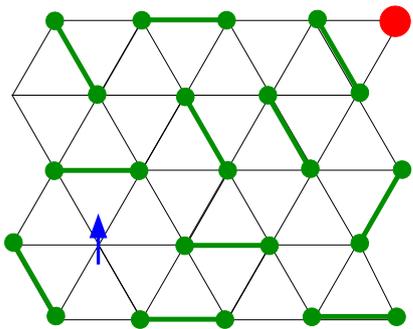


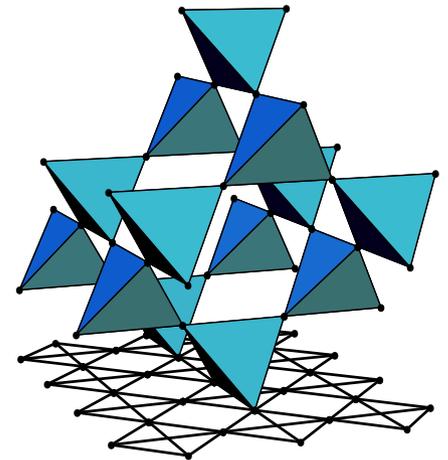
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# *Order and disorder in quantum frustrated magnets*



Roderich Moessner  
CNRS and ENS Paris

September 2004, Montauk



# Overview

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- The basic question:
  - interplay of degeneracy and quantum fluctuations
- Ising models of quantum frustration:
  - order by disorder: triangular lattice
  - disorder by disorder: kagome lattice
- High- $T_c$  and the RK quantum dimer model:
  - valence bond solids and RVB liquids in  $d = 2$  and  $d = 3$
  - excitations: resonons, pi0ns and photons
- Ice in  $d = 2$ : quantum and sliding ice
- Conclusions and outlook

# *Collaborators*

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- P. Chandra (Rutgers)
- P. Fendley (Virginia)
- E. Fradkin (UIUC)
- K. Gregor (Princeton)
- D. A. Huse (Princeton)
- S. V. Isakov (Stockholm)
- W. Krauth (Paris)
- V. Oganesyan (Princeton)
- K. Raman (Princeton)
- S. L. Sondhi (Princeton)
- O. Tchernyshyov (Johns Hopkins)

# Ising models of quantum frustration

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- Different choices which bonds to frustrate  $\Rightarrow$  **different ground states**
- On lattice, zero point entropy  $\mathcal{S}_0$  is extensive
- Consider only periodic Hamiltonians (no quenched disorder)

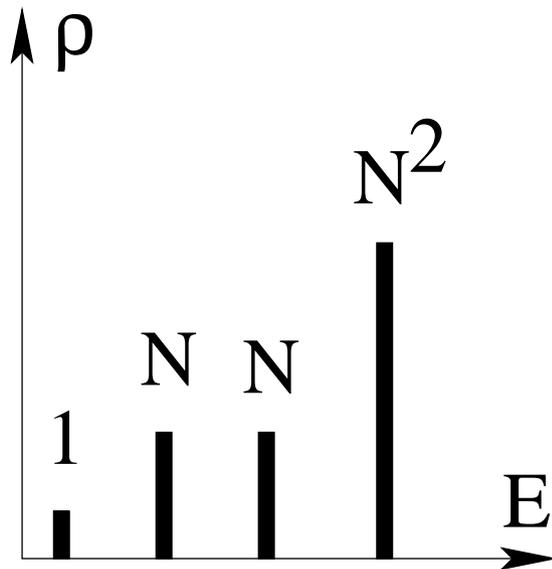
$$(1) \quad H = \sum_{ij} J_{ij} S_i^z S_j^z - \Gamma \sum_i S_i^x$$

$$\langle \uparrow | -\Gamma S^x | \downarrow \rangle = -\Gamma$$

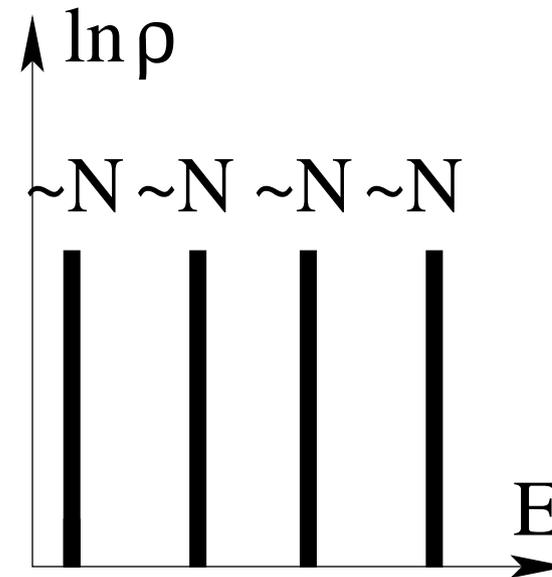
- Transverse field ‘flips spins’
  - **simplest form of quantum fluctuations**
  - **matrix elements between degenerate states**

# An analogy to the quantum Hall effect

d.o.s – unfrustrated magnet



d.o.s – frustrated magnet



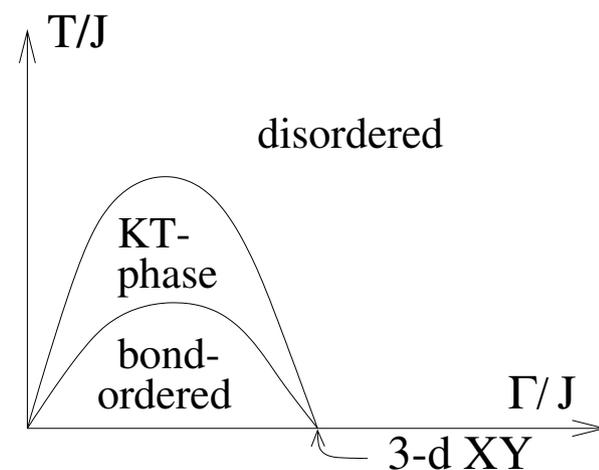
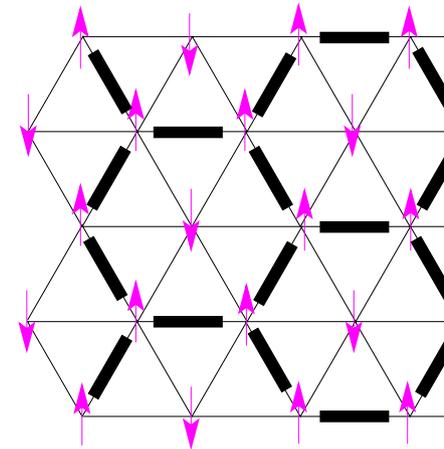
- Extensively degenerate 'Landau levels'
- All perturbations are strong
- New phases?
- New phase transitions?

# The triangular Ising magnet: order by disorder

- Transverse field 'likes' flippable spins:  $|S_x = 1\rangle \propto |\uparrow\rangle + |\downarrow\rangle$
- Variational guess: 'maximally flippable' configuration forms backbone of ground-state wavefunction

- Close-packing problem of flippable motif  $\Rightarrow$  crystalline solution  $\Rightarrow$  **order by disorder**
- Selected state has three-sublattice structure
- Effective action: **XY** with **6-state clock anisotropy**

$$\mathcal{L} = (\partial_\tau \phi)^2 + a_2 \phi^2 + a_4 \phi^4 + a_6 \phi^6 + b_6 \phi^6 \cos 6\theta$$



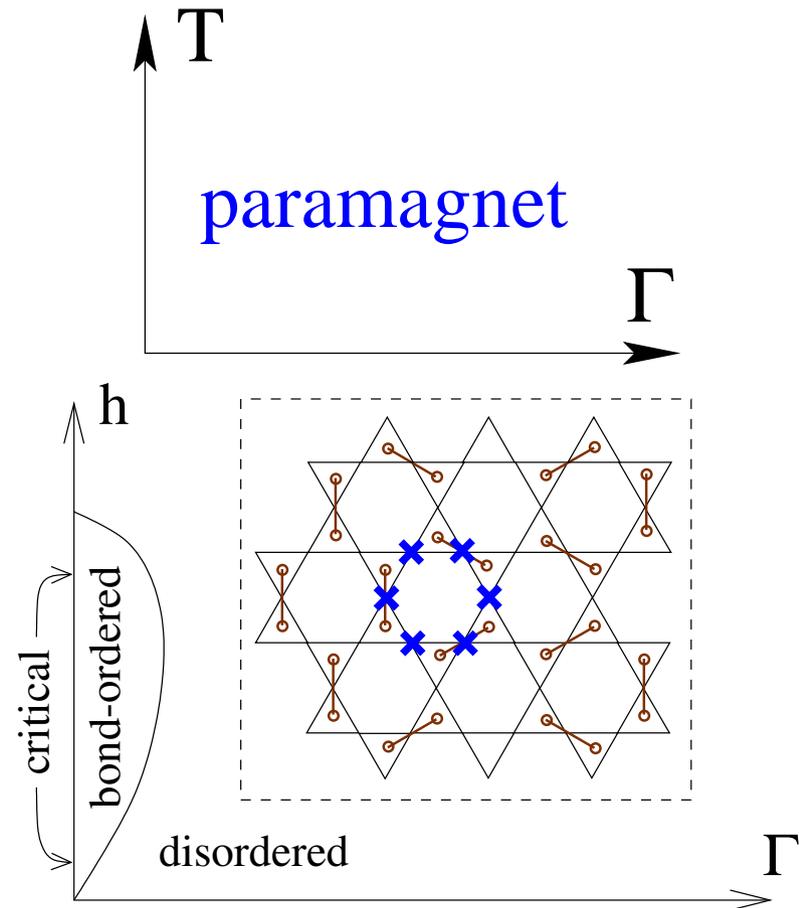
# *Reformulation as localisation problem*

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- Define state graph:
  - Nodes are ground states
  - Edges between any pair of ground states connected by single spin flip
- Order by disorder
  - localised ground state
- Disorder by disorder
  - delocalised ground state

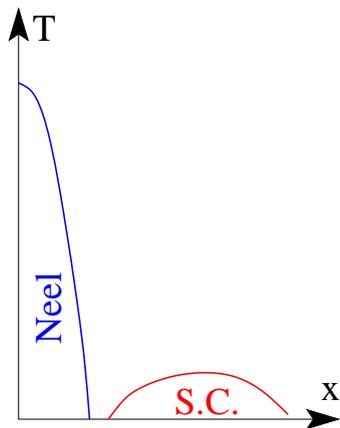
# The kagome Ising magnet: disorder by disorder

- Extensively many maximally flippable states
- Dispersionless excitations
- Paramagnetic for all values of  $T/J$ ,  $\Gamma/J$
- Longitudinal field suppresses fluctuations  $\Rightarrow$  order by disorder

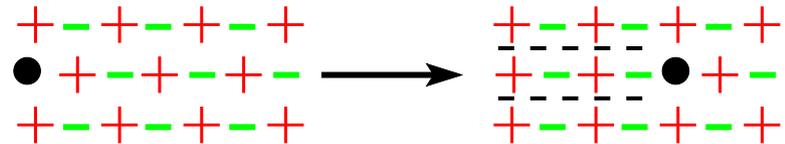


# Short-range RVB physics

Basic problem of high- $T_c$ : how do holes hop through an antiferromagnetic Mott insulator?



Hole motion is frustrated:  
hopping creates domain walls

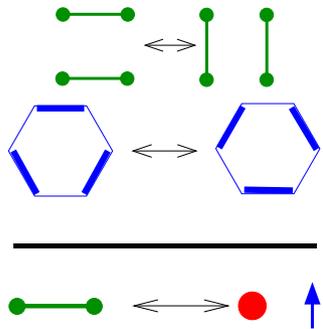


Possible resolution: magnet enters a different phase  
**resonating valence bond liquid phase**  
which breaks no symmetries.

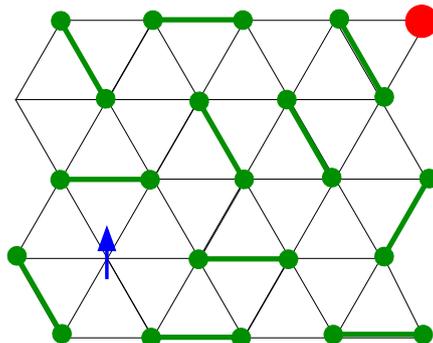
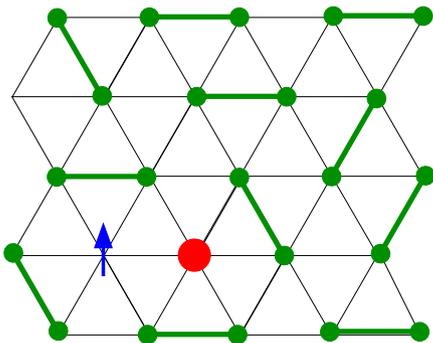
Neighbouring electrons form a singlet (“valence”) bond  
→ denoted by a **dimer**.

# The basic RVB scenario - electron fractionalisation

Energetics	RVB	Neel
single pair	valence bond optimal	
higher coordination	energy from resonance	... each neighbour
hole doping	motion unimpeded	motion frustrated



- Basic resonance move is that of benzene
- Removing an electron  $\rightarrow$  holon + spinon

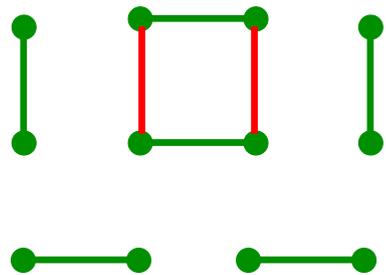


spinon and holon are  
deconfined  
 $\downarrow$   
(bosonic) holons can  
condense

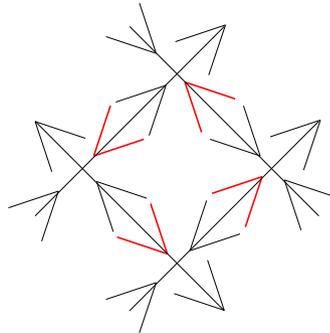
# Local constraints and quantum dynamics

- ‘Hard’ constraints are ubiquitous (e.g. single occupancy)
- Effective degrees of freedom encode constraint (sometimes)
- Adding quantum dynamics lifts **extensive** classical degeneracy (via plaquette resonance; inversion of closed loops; XY or ring exchange, transverse field)

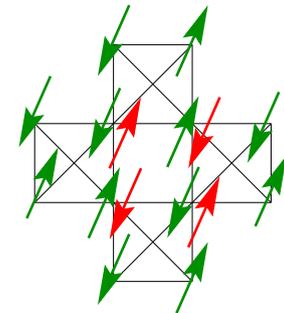
dimer models



vertex models



Ising ground states



→ degeneracy + quantum dynamics = ???

→ non-perturbative + potentially very interesting (see QHE)

# The Rokhsar-Kivelson quantum dimer model

$$H_{\text{QDM}} = -t (|\text{---}\rangle\langle\text{---}| + |\text{--}\text{---}\rangle\langle\text{--}\text{---}|) + v (|\text{---}\rangle\langle\text{---}| + |\text{--}\text{---}\rangle\langle\text{--}\text{---}|)$$

$$H_{\text{QDM}} = -t (|\text{---}\rangle\langle\text{---}| + |\text{--}\text{---}\rangle\langle\text{--}\text{---}|) + v (|\text{---}\rangle\langle\text{---}| + |\text{--}\text{---}\rangle\langle\text{--}\text{---}|)$$

- Resonance ( $t$ ) and potential ( $v$ ) term from uncontrolled approximation – one parameter:  $v/t$

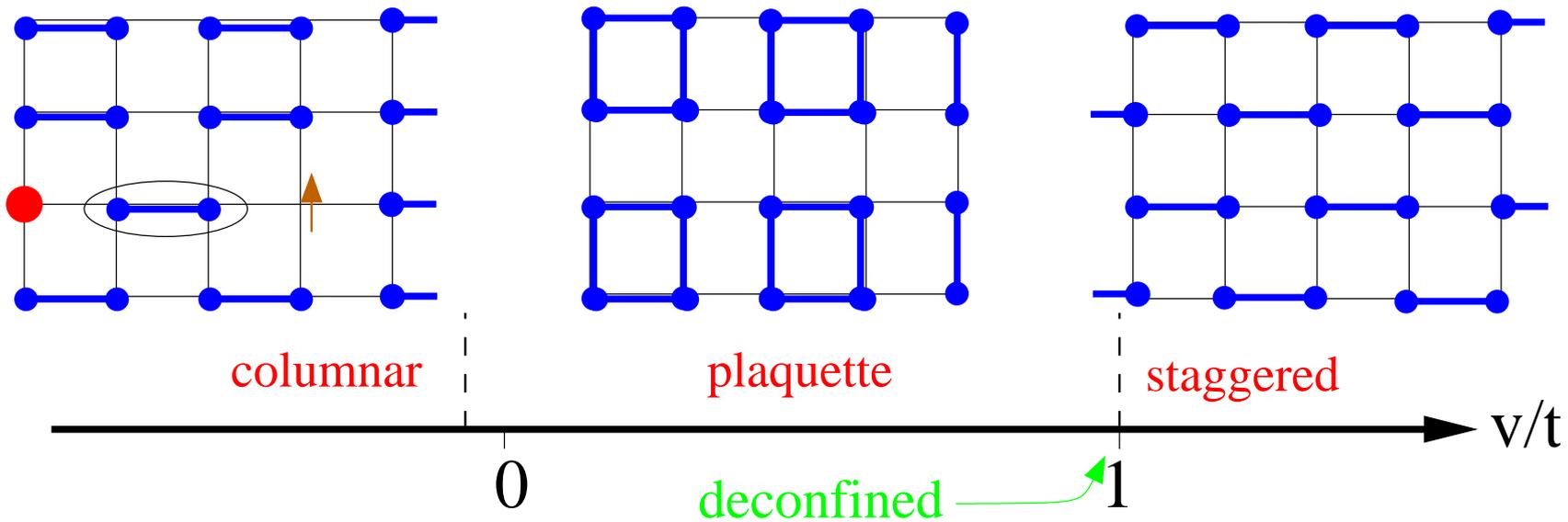
- RK point  $v/t = 1$  is exactly soluble in  $d = 2$  at  $T = 0$ :

$$|0\rangle = \frac{1}{\sqrt{N_c}} \sum_c |c\rangle \rightarrow \langle \hat{P} \rangle = \frac{1}{N_c} \sum_{c,c'} \langle c | \hat{P} | c' \rangle = \frac{1}{N_c} \sum_c p_c$$

→ classical calculation for diagonal operators

- $v/t > 1$  and limits of  $v/t \rightarrow -\infty$  give solid (staggered and columnar, respectively) phases:

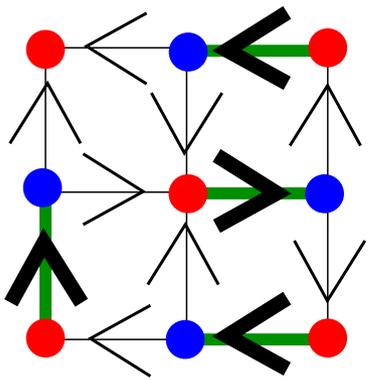
# Phase diagram for the square lattice



- all phases confining (break translational symmetry) **RK**;  
Read+Sachdev; Leung; ...
- RK point deconfined **RM+Sondhi**
- RK point highly degenerate **RK**
- Crucial ingredient: bipartiteness allows height (gauge) mapping

# Height/gauge mapping of square lattice dimer model

Orientation of dimers (from red to blue sublattice) is possible.



Magnetic analogy: dimer = magnetic flux  $\vec{B}$

- Link with dimer  $\rightarrow$  flux  $\vec{B} = +3$
- Unoccupied link  $\rightarrow$  flux  $\vec{B} = -1$
- $\nabla \cdot \vec{B} = 0 \rightarrow \vec{B} = \nabla \times \vec{A} = \nabla \times h$

‘Vector potential’  $\vec{A}$  in  $d = 2$  is simple scalar height function  $h$  (Youngblood et al.)

Mapping to height takes care of hardcore constraint  $\rightarrow$  we can coarse-grain safely to get effective long-wavelength theory.

# Height representation in $d = 2$

---

I Classical (RK point) Blote, Nightingale, Hilhorst, ...

coarse-grain  $h \rightarrow \tilde{h}$  to get energy functional of entropic origin:

$$Z = \int \mathcal{D}\tilde{h} \exp[\mathcal{S}_{cl}]; \mathcal{S}_{cl} = -\frac{K}{2} \int (\nabla \tilde{h})^2$$

II Quantum: guess effective long-wavelength theory RM et al., Henley

$$\mathcal{S}_q = \int (\partial_\tau \tilde{h})^2 - \rho_2 (\nabla \tilde{h})^2 - \rho_4 (\nabla^2 \tilde{h})^2 + \lambda \cos(2\pi \tilde{h})$$

with

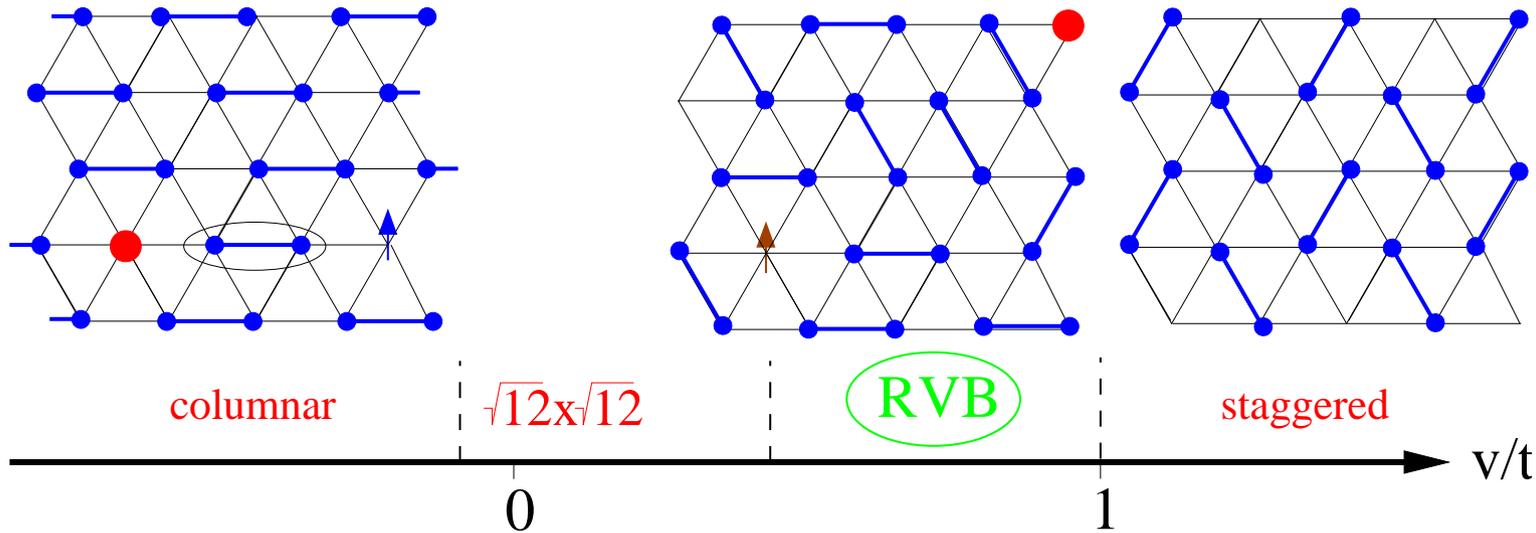
- $\rho_2 \propto (v/t - 1) = 0$  at the *critical* RK point  $\rightarrow$  degeneracy.
- $v/t > 1$  preferring maximal  $\nabla \tilde{h} \rightarrow$  staggered
- For  $v/t < 1$ , presence of dangerously irrelevant operator  $\rightarrow$  flat  $\tilde{h} \rightarrow$  confining solid (plaquette or columnar).
- RK point is ‘deconfined multicritical’ Fradkin et al.

# *Can we obtain an RVB liquid nonetheless?*

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- Do all quantum dimer models order?
- In  $d = 2 + 1$ , height model is never in the rough phase
- Possibilities
  - Non-bipartite lattice  $\rightarrow$  triangular RVB ( $Z_2$ ) liquid
  - Three dimensions  $\rightarrow$  cubic RVB ( $U(1)$ ) liquid
  - Both  $\rightarrow$  fcc RVB ( $Z_2$ ) liquid

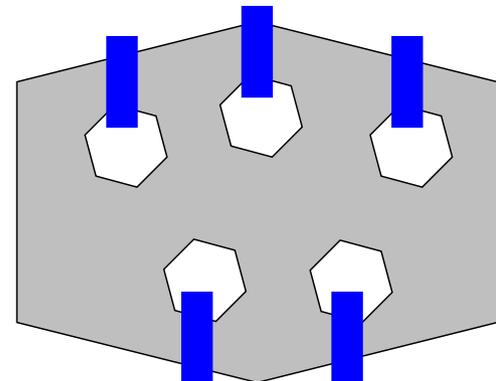
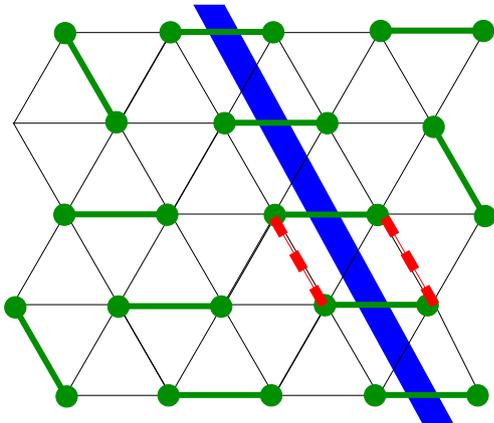
# The triangular short-range RVB liquid



- Point of principle: RVB liquid exists in  $d = 2 + 1$
- electron fractionalisation – deconfinement
- gapped excitation spectrum (in single-mode approx.)
- topological order (Wen for QHE)

# Topological order in the RVB liquid phase

- Winding parity ( $|e\rangle, |o\rangle$ ) invariant under action of local Hamiltonian
- Liquids locally indistinguishable  $\rightarrow$  sectors degenerate for  $L \rightarrow \infty$ , and  $\langle o|\hat{H}_n|e\rangle \propto \exp(-L)$  for *local* noise  $\hat{H}_n$ .
- Use as *scalable*  $q$ -bit, immune to decoherence? *Kitaev et al., Ioffe et al.*  $\rightarrow$  realisation as Josephson junction array?
- Problem: logic gates; non-local operations, ...



# Generalisation to $d = 3$ : cubic lattice (RK point)

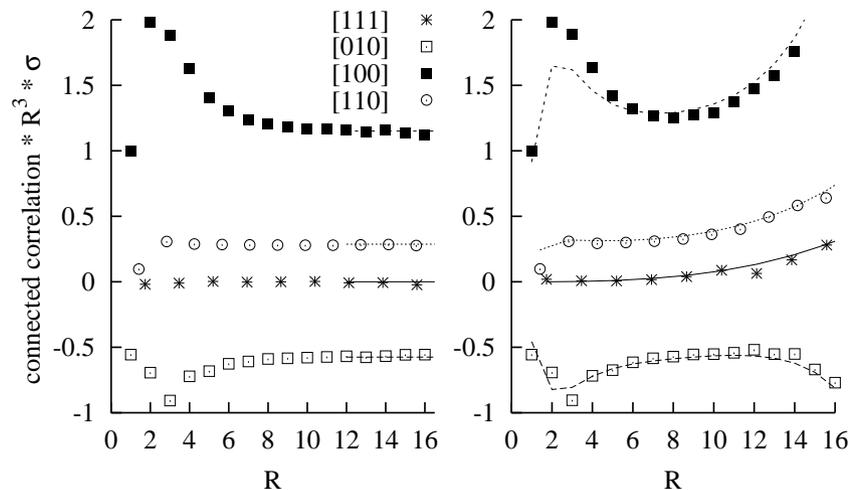
Can again use analogy to electrodynamics by orienting dimers.  
New feature:  $\vec{B} = \nabla \times \vec{A}$  is now related to vector potential with local gauge invariance. Youngblood+Axe; Henley; Hermele *et al.*; Huse *et al.*

$$Z = \int \mathcal{D}\vec{A} \exp[\mathcal{S}_{cl}]; \mathcal{S}_{cl} = -\frac{K}{2} \int (\nabla \times \vec{A})^2$$

gives dipolar correlators:

$$c_{xx} \propto (3 \cos^2 \theta - 1)/r^3$$

which agree well with Monte Carlo (left:  $L = 128$ ; right:  $L = 32$ ):



# $U(1)$ RVB phase on cubic lattice

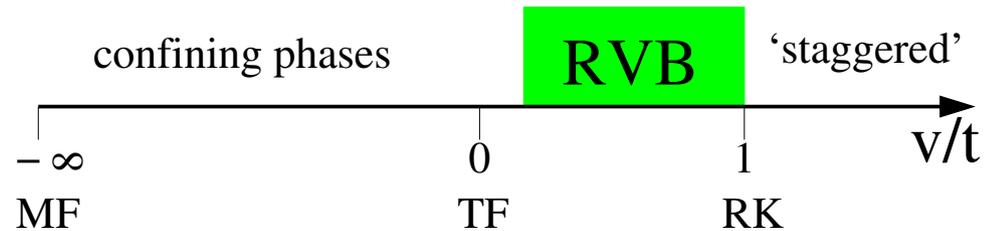
II Quantum: again guess effective long-wavelength theory

$$\mathcal{S}_q = \int \vec{E}^2 - \rho_2 \vec{B}^2 - \rho_4 (\nabla \times \vec{B})^2$$

This is action of compact QED, with monopoles suppressed  
( $\nabla \cdot \vec{B} = 0$ )  $\rightarrow$

There exists an RVB “Coulomb” liquid phase, with

- deconfinement
- gapless photons
- ‘quantum order’ (Wen)



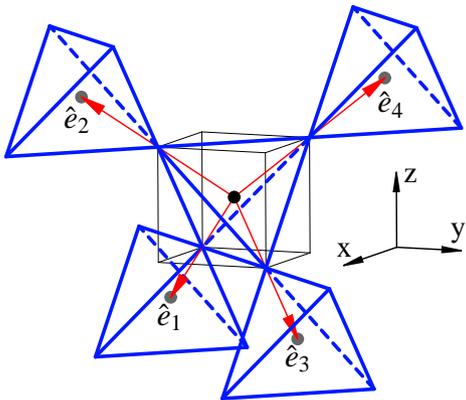
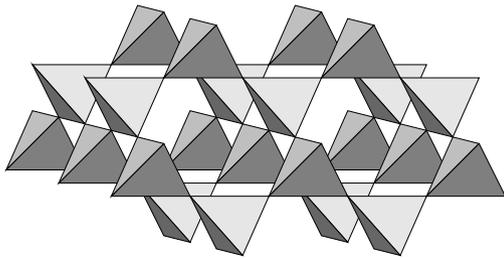
## ***$Z_2$ RVB phase on face-centred cubic lattice***

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- The presence/absence of an RVB phase on bipartite lattices is a consequence of the respective presence/absence of deconfining phases in the corresponding  $U(1)$  gauge theories in  $d = 3 + 1$  and  $d = 2 + 1$ .
- Similarly, the presence of an RVB phase on the triangular lattice follows from the existence of a deconfined phase in  $Z_2$  gauge theories in  $d = 2 + 1$ . This carries over to  $d = 3 + 1$ , where for the non-bipartite face-centred cubic lattice, an  $Z_2$  RVB phase exists (with topological order but without gapless photons).
- Moral: deconfined dimer phases are more easily found in  $d = 3 + 1$  than in  $d = 2 + 1$  BUT dimer phases are more difficult to stabilise in higher dimensions.

# Highly frustrated magnetism in $d = 3$

- Pyrochlore lattice: corner-sharing tetrahedra.
- antiferromagnetic ground states have zero total spin on each tetrahedron
- huge degeneracy
  - Ising: cubic ice=diamond six vertex with residual Pauling entropy  $\frac{1}{2} \ln \frac{3}{2}$ ;
  - H'berg: cooperative paramagnet



No ordering for  $T \rightarrow 0$

Lattice of tetrahedra is bipartite – we again have conservation law (Henley; R.M. et al.; Hermele et al.)!

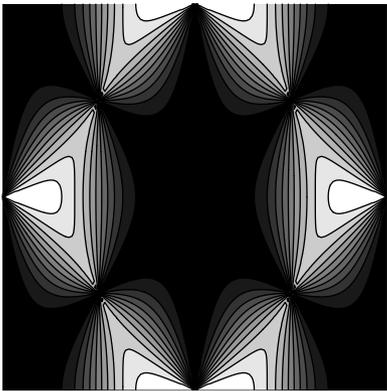
# Large- $N$ treatment compared to finite $N$

**Strategy:** consider classical  $O(N)$  model  $N = \infty$ . Hope: ‘gross’ features reproduced correctly.

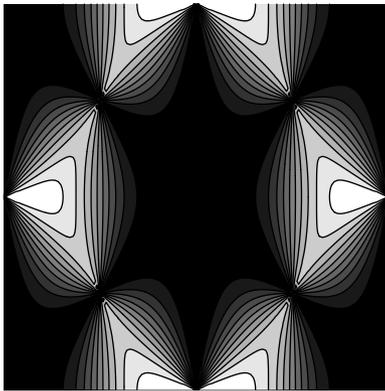
No free parameter.

Structure factor in  $[h h k]$  plane for pyrochlore antiferromagnet. (Zinkin; Garanin+Canals)

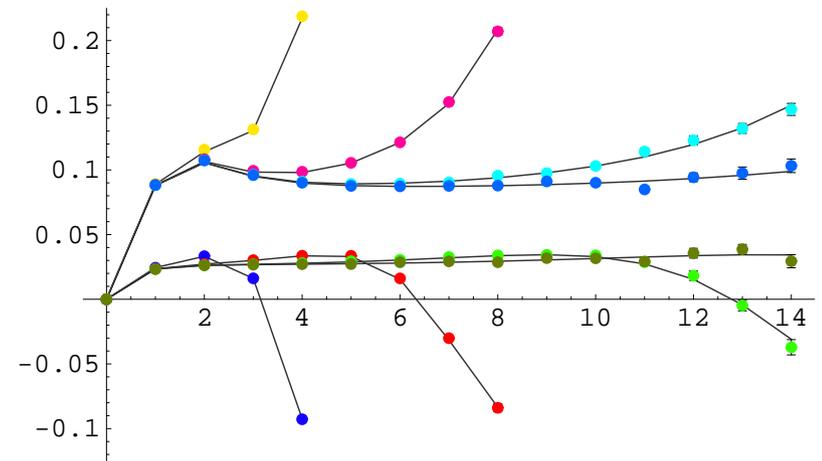
$O(\infty)$



ice  $I_h$  ( $O(1)$ )



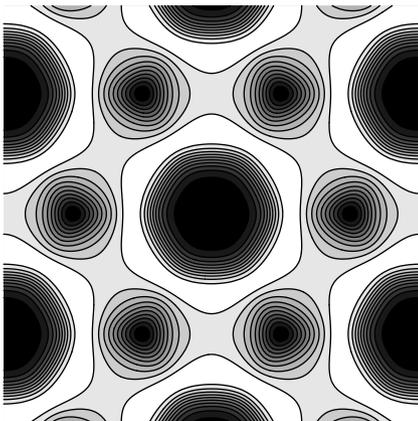
$R^3 \times$  Ising real-space correlations (different sizes and directions)



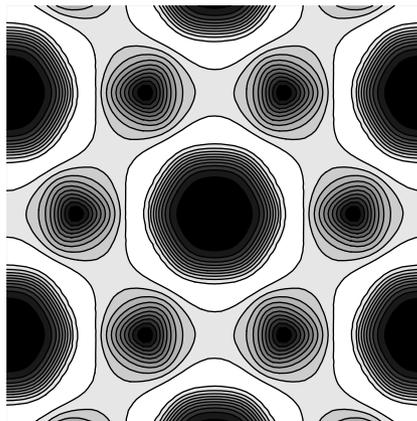
# $1/N$ corrections

- $1/N$  corrections preserve ‘dipolar’ form of correlations at large distances (**conservation law**)
- non-perturbative effects: order by disorder and ‘vertex operators’ in  $d = 2$  (forbidden in  $d = 3$ )
- also works for more general set of models, e.g. three-dimer model on triangular lattice (aka kagome ring exchange of Balents *et al.*)

$O(\infty)$



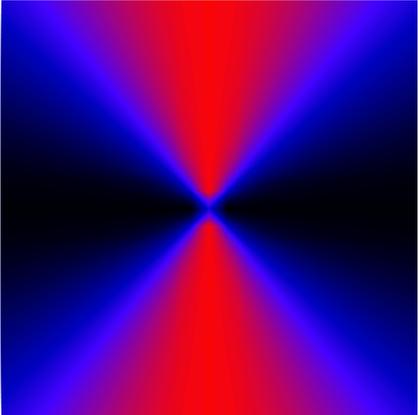
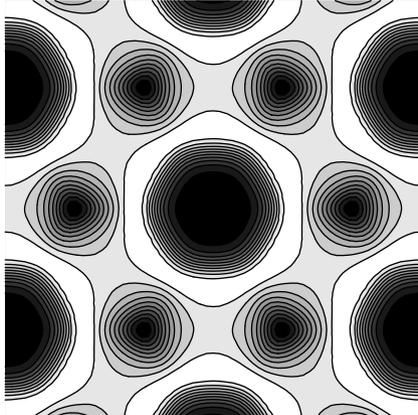
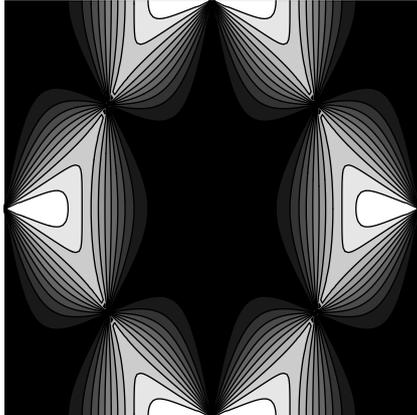
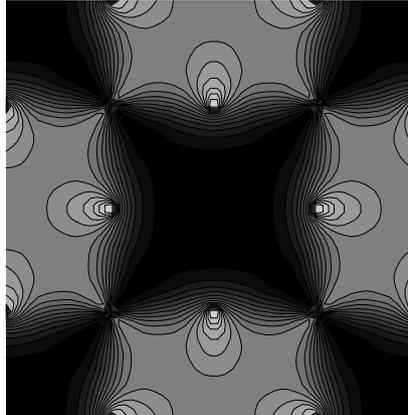
Ising, [ $O(1)$ ]



Treatment is not exact but very accurate

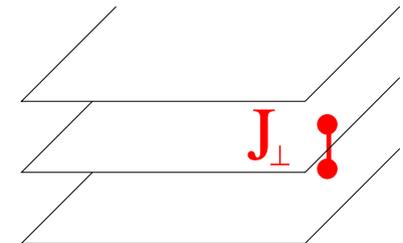
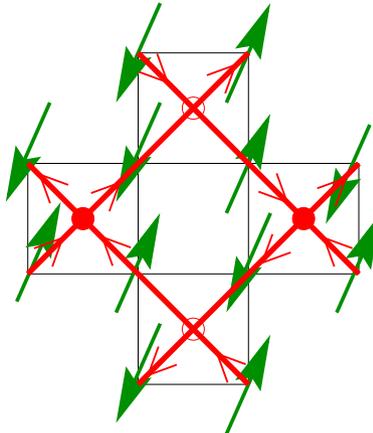
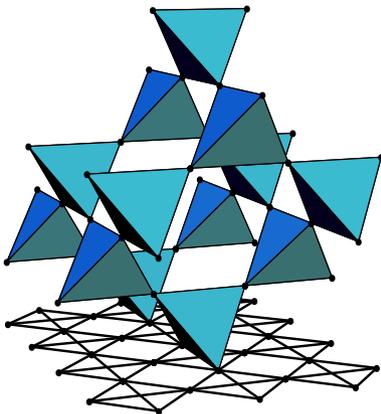
# Excitations and the single-mode approximation

- $\hat{\rho}_{\hat{e}}(k)$ : dimer density operator (polarisation  $\hat{e}$ , wavevector  $k$ ).
- Ground state:  $|0\rangle$ , variational excited state  $|k\rangle = \hat{\rho}_{\hat{e}}(k)|0\rangle$
- Single-mode approx.:  $E_k - E_0 \leq f(k)/s(k)$ , where  $s(k) = \langle k|k\rangle$  and  $f(k) = \langle 0|[\hat{\rho}_{\hat{e}}(k), [H, \hat{\rho}_{\hat{e}}(-k)]]|0\rangle$
- Gapless modes for  $f(k) \rightarrow 0$  or  $s(k) \rightarrow \infty$
- For bipartite lattices, near zone-corner  $Q$ :  $f(Q+k) \propto (k \times \hat{e})^2$

lattice	triangular	pyrochlore	square
excitations	gapped ( $Z_2$ )	only photons	resonons+pi0n
			

# Sliding ice and the $d$ -DW in $d = 2$

- square ice (six-vertex) = Ising planar pyrochlore ( $T = 0$ )
- Weakly coupled  $d = 2$  planes:  $J_{\perp}/T \ll 1$
- model of  $d$ -DW [arrows = conserved currents] (S. Chakrvarthy *et al.*)
- Critical correlations of single plane stable for *finite range* of  $J_{\perp}/T \rightarrow$  “Sliding ice”

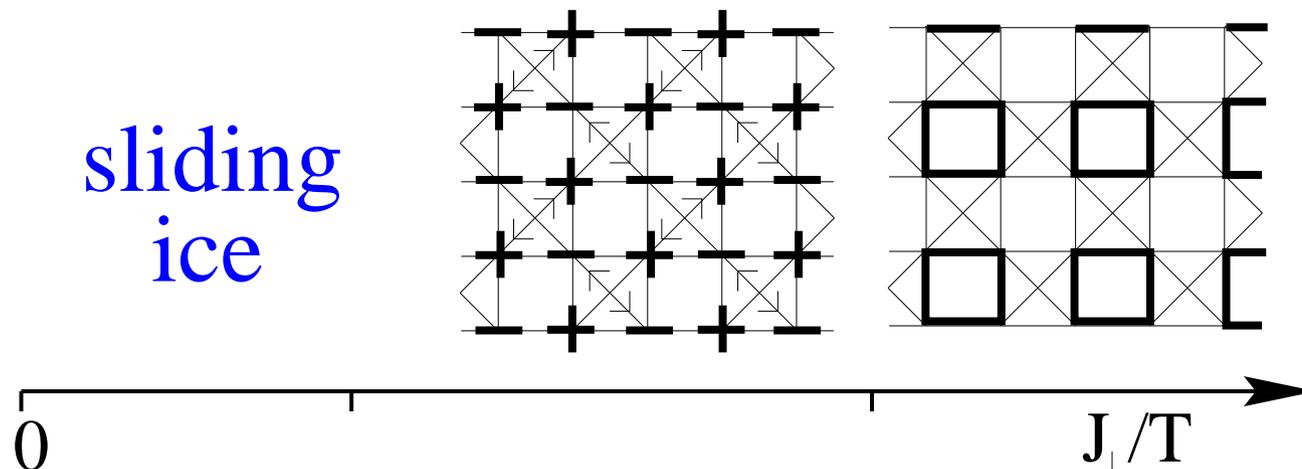


## Quantum ice in $d = 2$

- For  $J_{\perp} > J_{crit}$ , get antiferroelectric order
- $J_{\perp} \rightarrow \infty$  gives 'quantum ice', also obtained from Ising model plus transverse field (4<sup>th</sup> order) or  $J_{xy}$  (2<sup>nd</sup> order), with ring-exchange Hamiltonian

$$H_Q = \sum_{\square} S^+ S^- S^+ S^- + h.c.$$

- These quantum fluctuations give a 'valence bond solid' (order by disorder): Ph. Sindzingre; RM *et al.*



# Summary

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- Ising models of quantum frustration
  - cooperative paramagnetism; order by disorder
- RVB liquids:
  - different RVB liquids with fractionalisation, topological order, ...
- range of excitations
  - photons, resonons, pi0ns
- potential realisations:
  - correlated electrons
  - frustrated magnets
  - artificial structures
  - cold atoms